



Introduction

Probabilistic context-free models...

- ... assign probabilities to structural analyses of the input; Claim: *most probable* = *preferred*
- ... account for frequency effects (e.g., Jurafsky 1996)
- ... account for robustness of sentence processing (when using a wide-coverage grammar, e.g. Crocker & Brants 2000)

However, they have **no notion of semantic processing!**

- Thematic fit of verbs and prospective arguments influences initial parsing decisions in many constructions (e.g. NP/S)
- PCFGs can be lexicalised, but
 - This treats a semantic phenomenon on a collocational level
 - In practice, training data is very sparse

We propose a **probabilistic wide-coverage** modelling architecture that uses **syntactic and semantic cues**

- Cleanly extend existing models by a crucial and separate dimension
- Achieve broad coverage of corpus and experimental data

Architecture

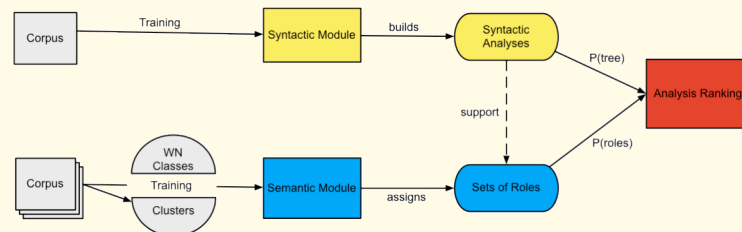
Standard: A probabilistic parser returns the **most likely syntactic analyses** at each word

- Syntactic probabilities are computed using a treebank grammar (induced from corpus)
 - ⇒ Wide coverage on unseen text

Extension: **Probabilistically assign thematic roles** to each verb argument in the partial parse

- Plausibility of set of thematic roles is modelled by its probability
- Probability of individual role assignment is estimated from semantically annotated corpus
- Extract prospective argument heads from the partial parse
- Assign each verb-argument pair its most likely role (including adjunct roles)

The overall preferred analysis is determined by both constraints



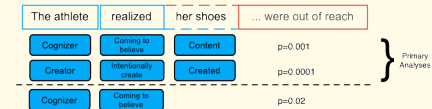
The model initially only considers those parses in which a new role can be assigned (cf. Pritchett (1992)); if none of these parses is likely, it considers the remaining parses

- We predict disruption if the plausibility of the previously preferred analysis drops below that of another analysis or below threshold
- Future work:** Predict (graded) effects quantitatively

Result: A probabilistic, incremental, wide-coverage model of sentence processing that accounts for semantic effects

A Test Case

NP/S ambiguity: The NP may belong to the **verb as a direct object** or to an **embedded clause**



Readers prefer the **direct object reading** regardless of subcat preference (Pickering et al. 2000)

- Unless contradicted by thematic fit or (later on) syntactic admissibility

Probabilistic models make an **incorrect prediction**

- Verb subcat preferences lead to an early, **unchanged** preference for the embedded clause reading

Our model will make the **correct prediction**

- Eagerness for role-assignment leads to initial preference for the object reading; preference is **modified** through thematic fit of arguments and syntactic probability

Testing the Semantic Module

Task: Model human judgment data with thematic role predictions

- Correlate judgments and model predictions

Approach: Estimate $P(\text{role}, \text{verb}_{\text{frame}}, \text{arg-head})$ from corpus

- Compute as $P(\text{verb}_{\text{frame}})P(\text{role}|\text{verb}_{\text{frame}})P(\text{arg-head}|\text{verb}_{\text{frame}}, \text{role})$

Problem: Semantically annotated corpora needed (PropBank/FrameNet);

large **sparse data** problem

Solution: **Class-based smoothing** (Instead of counting token frequencies, **count class frequencies**)

- Also model influence of infrequent words
- Verbs: Induce classes by clustering
- Nouns: Too sparse for clustering, use WordNet

Training and test data:

- Cluster and estimate probabilities from PropBank / FrameNet
- Test on 100 verb-argument-role triples with judgments on 1-7 scale from McRae et al. (1998)

Results:

Smoothing Scheme	Coverage	Correlation (ρ_S)
None	2 (2%)	ns
Clusters, FN	17 (17%)	$\rho=0.515, p<0.05$
Clusters, FN + WN noun synsets	18 (18%)	$\rho=0.634, p<0.01$

Conclusions:

- Semantic module **reliably predicts** human judgments
- Smoothing enlarges coverage, strengthens correlation
- Training data is **still sparse**. **Current work:** automatically annotate larger data set (parts of BNC) with role information to extend training set